# On the Number of Distinct Multinomial Coefficients 

George E. Andrews ${ }^{1, *}$<br>Mathematics Department, 410 McAllister Building, The Pennsylvania State University, University Park, PA 16802, USA.

## Arnold Knopfmacher ${ }^{2}$

The John Knopfmacher Centre for Applicable Analysis and Number Theory, University of the Witwatersrand, Johannesburg, South Africa.

## Burkhard Zimmermann ${ }^{3}$

Research Institute for Symbolic Computation, Johannes Kepler Universität Linz, A-4040 Linz, Austria.


#### Abstract

We study $M(n)$, the number of distinct values taken by multinomial coefficients with upper entry $n$, and some closely related sequences. We show that both $p_{\mathbb{P}}(n) / M(n)$ and $M(n) / p(n)$ tend to zero as $n$ goes to infinity, where $p_{\mathbb{P}}(n)$ is the number of partitions of $n$ into primes and $p(n)$ is the total number of partitions of $n$. To use methods from commutative algebra, we encode partitions and multinomial coefficients as monomials.


Key words: Factorials, binomial coefficients, combinatorial functions, partitions of integers; polynomial ideals, Gröbner bases.

[^0]
## 1 Introduction

The classical multinomial expansion is given by

$$
\begin{equation*}
\left(x_{1}+x_{2}+\cdots+x_{k}\right)^{n}=\sum\binom{n}{i_{1}, i_{2}, \ldots, i_{k}} x_{1}^{i_{1}} x_{2}^{i_{2}} \cdots x_{k}^{i_{k}} \tag{1}
\end{equation*}
$$

where the sum runs over all $\left(i_{1}, i_{2}, \ldots, i_{k}\right)$ such that $i_{1}+i_{2}+\cdots+i_{k}=n$ and $i_{1}, i_{2}, \ldots, i_{k} \geq 0$. Multinomial coefficients are defined by

$$
\begin{equation*}
\binom{n}{i_{1}, i_{2}, \ldots, i_{k}}:=\frac{n!}{i_{1}!i_{2}!\cdots i_{k}!} . \tag{2}
\end{equation*}
$$

It is natural to ask about $M_{k}(n)$, the number of different values of

$$
\begin{equation*}
\binom{n}{i_{1}, i_{2}, \ldots, i_{k}} \tag{3}
\end{equation*}
$$

where $i_{1}+i_{2}+\cdots+i_{k}=n$. Obviously if the $i_{1}, i_{2}, \ldots, i_{k}$ are merely permuted, then the value of $\binom{n}{i_{1}, i_{2}, \ldots, i_{k}}$ is unchanged. However identical values do not necessarily arise only by permuting the $i_{1}, i_{2}, \ldots, i_{k}$. For example,

$$
\begin{equation*}
\binom{7}{3,2,2}=\binom{7}{4,1,1,1} \tag{4}
\end{equation*}
$$

and

$$
\begin{equation*}
\binom{236}{64,55,55,52,7,3}=\binom{236}{62,56,54,51,13} . \tag{5}
\end{equation*}
$$

We note that if $k \geq n$, then $M_{k}(n)=M_{n}(n)$, and we define $M(n):=M_{n}(n)$ to be the total number of distinct multinomial coefficients with upper entry $n$.

Since permuting its lower indices leaves the value of a multinomial coefficient unchanged it is immediately clear that

$$
\begin{equation*}
M_{k}(n) \leq p_{k}(n) \tag{6}
\end{equation*}
$$

and

$$
\begin{equation*}
M(n) \leq p(n) \tag{7}
\end{equation*}
$$

where $p_{k}(n)$ is the number of partitions of $n$ into at most $k$ parts, and $p(n)$ is the total number of partitions of $n$ respectively. Observing that the binomial coefficients $\binom{n}{k, n-k}$ are strictly increasing for $0 \leq k \leq \frac{n}{2}$, we deduce that, in fact,

$$
\begin{equation*}
M_{2}(n)=p_{2}(n) . \tag{8}
\end{equation*}
$$

However the inequality (6) seems to be stronger for large $k$. Indeed (Theorem 8),

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{M(n)}{p(n)}=0 \tag{9}
\end{equation*}
$$

Bounding $M(n)$ from below we will prove (Theorem 1) that

$$
\begin{equation*}
M(n) \geq p_{\mathbb{P}}(n) \tag{10}
\end{equation*}
$$

where $p_{\mathbb{P}}(n)$ is the number of partitions of $n$ into parts belonging to the set of primes $\mathbb{P}$. Indeed (Theorem 12),

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{p_{\mathbb{P}}(n)}{M(n)}=0 \tag{11}
\end{equation*}
$$

It is natural to generalize the problem from $M(n)$ to $M_{S}(n)$, the number of different multinomial coefficients with upper entry $n$ whose lower entries belong to a given set $S$ of natural numbers. Let

$$
\begin{equation*}
\mathcal{M}_{S}(q):=\sum_{n} M_{S}(n) q^{n} \tag{12}
\end{equation*}
$$

and

$$
\begin{equation*}
\mathcal{P}_{S}(q):=\sum_{n} p_{S}(n) q^{n} \tag{13}
\end{equation*}
$$

where $p_{S}(n)$ is the number of partitions of $n$ into elements from $S$. Define $[s]:=\{1,2, \ldots, s\}$. Results of numerical calculations such as

$$
\begin{equation*}
\mathcal{M}_{[4]}(q) / \mathcal{P}_{[4]}(q)=1-q^{7}+O\left(q^{100}\right) \tag{14}
\end{equation*}
$$

and

$$
\begin{equation*}
\mathcal{M}_{[7]}(q) / \mathcal{P}_{[7]}(q)=1-q^{7}-q^{8}-q^{10}+q^{12}+q^{13}+O\left(q^{100}\right) \tag{15}
\end{equation*}
$$

suggest that $\mathcal{M}_{S}(q) / \mathcal{P}_{S}(q)$ is a polynomial for any finite $S$. This is indeed true (Theorem 5) and leads to an algorithm for computing a closed form for the sequence $M_{S}(n)$ for a given finite set $S$ (Section 4).

Partitions and multinomial coefficients can be written as monomials in a natural way: For instance, the monomial $q_{4} q_{1}^{3}$ represents the partition $4+1+1+1$, and $x_{7} x_{5} x_{3} x_{2}$ represents the multinomial coefficient $\left(\begin{array}{c}7,1,1,1\end{array}\right)$ whose factorization into primes is $7 \cdot 5 \cdot 3 \cdot 2$. This encoding serves as a link between our counting problem and Hilbert functions (Section 3). Sections 4, 5 and 6 are based on that link.

We call a pair of partitions of $n$ that yield the same multinomial coefficient but have no common parts an irreducible pair. For example, the partitions $4+1+1+1$ and $3+2+2$ form an irreducible pair according to Equation (4). In Section 7, we study $i(n)$ the total number of irreducible pairs of partitions of $n$, and we prove (Theorem 13) that $i(n)>\frac{n}{56}-1$.

## 2 A Lower Bound for $M(n)$

We relate $M(n)$ to $p_{\mathbb{P}}(n)$ whose asymptotics is known by a theorem of Kerawala [1]:

$$
\begin{equation*}
\log p_{\mathbb{P}}(n) \sim \frac{2 \pi}{\sqrt{3}} \sqrt{\frac{n}{\log n}} \tag{16}
\end{equation*}
$$

Theorem $1 M(n) \geq p_{\mathbb{P}}(n)$.
Theorem 1 is implied by the following lemma:
Lemma 2 Any two distinct partitions of the same natural number $n$ into primes yield different multinomial coefficients.

PROOF. [Proof of Lemma 2] It suffices to show that if

$$
\begin{equation*}
p_{1}!p_{2}!\cdots p_{r}!=q_{1}!q_{2}!\cdots q_{s}! \tag{17}
\end{equation*}
$$

where $p_{1} \leq p_{2} \leq \cdots \leq p_{r}$ and $q_{1} \leq q_{2} \leq \cdots \leq q_{s}$ are all primes, then $r=s$ and $p_{i}=q_{i}$ for $i=1, \ldots, s$. We proceed by mathematical induction on $r$.

If $r=1$, then $q_{s}$ must equal $p_{1}$ because if $q_{s}<p_{1}$ then $p_{1}$ divides the left side of the above equation but not the right side. If $q_{s}>p_{1}$ then $q_{s}$ divides the right side but not the left. Hence $q_{s}=p_{1}$, and dividing both sides by $p_{1}$ ! we see that there can be no other $q_{i}$. Hence $s=1$ and $q_{1}=p_{1}$.

Assume now that our result holds up to but not including a particular $r$. As in the case $r=1$, we must have $q_{s}=p_{r}$. Cancel $p_{r}$ ! from both sides and apply the induction hypothesis to conclude that $s-1=r-1$ and $p_{i}=q_{i}$ for $i=1, \ldots, s-1$. Hence the lemma follows by mathematical induction.

Some values of $p_{\mathbb{P}}(n)$ and $M(n)$ are listed on page 15 . We will refine Theorem 1 in Section 6.

## 3 The Algebraic Setting

Encoding partitions and multinomial coefficients as monomials allows us to apply constructive methods from commutative algebra to the problem of counting multinomial coefficients. Let us assume that $S \subseteq \mathbb{N}$ throughout the paper. We will see that $M_{S}(n)$ finds a natural interpretation as the Hilbert function
of a certain graded ring (Lemma 4). In the case of finite $S$, it can be computed by the method of Gröbner bases [2-5].

We represent the partition $\lambda_{0}+\lambda_{1}+\cdots+\lambda_{i}$ of $n$ by the monomial $q_{\lambda_{0}} q_{\lambda_{1}} \ldots q_{\lambda_{i}}$ whose degree is $n$ if we define the degree of variables suitably by $\operatorname{deg} q_{j}:=j$. For convenience, we will use the notions "partition of $n$ " and "monomial of degree $n$ " interchangeably.

Let $k$ be a field of characteristic zero. We abbreviate the ring $k\left[q_{i}: i \in S\right]$ of polynomials in the variables $q_{i}$ for $i \in S$ over $k$ by $k[S]$. Define the degree of monomials by $\operatorname{deg} q_{i}:=i$, and let $k[S]_{n}$ denote the subspace of all homogeneous polynomials of degree $n$. In other words, $k[S]_{n}$ is the $k$-vector space whose basis are the partitions of $n$ into parts $S$. Note that $k[S]$ is graded by $k[S]=$ $\oplus_{n} k[S]_{n}$. For instance,

$$
\begin{equation*}
k[\{1,3, \ldots\}]_{4}=k \cdot q_{3} q_{1} \oplus k \cdot q_{1}^{4} \tag{18}
\end{equation*}
$$

corresponding to the partitions $3+1$ and $1+1+1+1$ of 4 into odd parts.
The multinomial coefficients with upper entry $n$ into parts belonging to $S$ are the numbers $n!/ \Pi_{j} j!^{a_{j}}$ where $\Pi_{j} q_{j}^{a_{j}}$ ranges over the monomials in $k[S]_{n}$. Since the numerator $n$ ! of these fractions is fixed, it suffices to count the set of all denominators:

$$
\begin{equation*}
M_{S}(n)=\left|\left\{\prod_{j} j!^{a_{j}}: \prod_{j} q_{j}^{a_{j}} \in k[S]_{n}\right\}\right| . \tag{19}
\end{equation*}
$$

To count the values taken by $\prod_{j} j!^{a_{j}}$, we look at their factorization into primes. Let $h\left(q_{j}\right)$ be the factorization of $j$ ! into primes, written as a monomial in $k[x]:=k\left[x_{p}: p\right.$ prime $]$, multiplied by $q^{j}$. For example, $h\left(q_{5}\right)=q^{5} x_{2}^{3} x_{3} x_{5}$ corresponding to $5!=2^{3} \cdot 3 \cdot 5$. An elementary counting argument [6] shows that the prime $p$ occurs in the factorization of $j$ ! with exponent $\sum_{l=1}^{\infty}\left\lfloor j / p^{l}\right\rfloor$, where $\lfloor x\rfloor$ denotes the largest integer that does not exceed the real number $x$. Therefore,

$$
\begin{equation*}
h\left(q_{j}\right)=q^{j} \prod_{p \text { prime }} x_{p}^{\sum_{l=1}^{\infty}\left\lfloor j / p^{l}\right\rfloor} . \tag{20}
\end{equation*}
$$

Since factorization into primes is unique, (19) can be written as

$$
\begin{equation*}
M_{S}(n)=\left|\left\{\prod_{j} h\left(q_{j}\right)^{a_{j}}: \prod_{j} q_{j}^{a_{j}} \in k[S]_{n}\right\}\right| . \tag{21}
\end{equation*}
$$

Extending $h$ to a $k$-algebra homomorphism $k[S] \rightarrow k[x, q]$ allows us to reformulate (21) as

## Lemma 3

$$
\begin{equation*}
M_{S}(n)=\operatorname{dim}_{k} h\left(k[S]_{n}\right) . \tag{22}
\end{equation*}
$$

Example: Since there are 10 partitions of 7 into parts 1, 2, 3 and 4, the dimension of

$$
\begin{equation*}
k[\{1,2,3,4\}]_{7}=k q_{4} q_{3} \oplus k q_{4} q_{2} q_{1} \oplus k q_{4} q_{1}^{3} \oplus k q_{3} q_{2}^{2} \oplus \cdots \oplus k q_{1}^{7} \tag{23}
\end{equation*}
$$

over $k$ is 10 . However, the dimension of its image

$$
\begin{equation*}
h\left(k[\{1,2,3,4\}]_{7}\right)=k q^{7} x_{2}^{4} x_{3}^{2} \oplus k q^{7} x_{2}^{4} x_{3} \oplus k q^{7} x_{2}^{3} x_{3} \oplus \cdots \oplus k q^{7} \tag{24}
\end{equation*}
$$

under $h$ is only 9 and so $M_{[4]}(7)=9$. The defect is due to $h\left(q_{4} q_{1}^{3}\right)=h\left(q_{3} q_{2}^{2}\right)$ which is nothing but a restatement of (4).

To use Lemma 3 for effective computation (in the case of finite $S$ ), we express $\operatorname{dim}_{k} h\left(k[S]_{n}\right)$ as the value (at $n$ ) of the Hilbert function of a certain elimination ideal. This method is taken from [2]; the result in our case is Lemma 4 below.

First we make the map $h$ degree-preserving (graded) by defining $\operatorname{deg} q:=1$ and $\operatorname{deg} x_{p}:=0$ in the ring $k\left[x_{p}: p\right.$ prime $][q]$. (This is why we introduced the extra factor of $q^{j}$ in the defining equation (20) of $h$.) Second, note that

$$
\begin{equation*}
h\left(k[S]_{n}\right) \cong k[S]_{n} /\left(k[S]_{n} \cap \operatorname{ker} h\right) \tag{25}
\end{equation*}
$$

as $k$-vector spaces, since $h$ is a $k$-linear map on $k[S]_{n}$. In particular, dimensions agree. Therefore,

$$
\begin{equation*}
M_{S}(n)=\operatorname{dim}_{k} k[S]_{n} /\left(k[S]_{n} \cap \operatorname{ker} h\right) . \tag{26}
\end{equation*}
$$

Recall that the (projective) Hilbert function $H_{R}$ of a graded $k$-algebra $R=$ $\oplus_{n} R_{n}$ is defined by $H_{R}(n):=\operatorname{dim}_{k} R_{n}$. Thus (26) relates $M_{S}$ to the Hilbert function of $k[S] /$ ker $h$ :

$$
\begin{equation*}
M_{S}(n)=H_{k[S] / \operatorname{ker} h}(n) \tag{27}
\end{equation*}
$$

By Theorem 2.4.2 of [2], ker $h$ can be computed by elimination:

$$
\begin{equation*}
\operatorname{ker} h=I \cap k[S] \tag{28}
\end{equation*}
$$

where the ideal $I$ of $k[S][q]\left[x_{p}: p\right.$ prime $]$ is defined by

$$
\begin{equation*}
I:=\left\langle q_{j}-h\left(q_{j}\right): j \in S\right\rangle . \tag{29}
\end{equation*}
$$

Summarizing this section, we have proved the following Lemma:
Lemma 4 Let $k[S]$ be graded by $\operatorname{deg} q_{i}:=i$. Define a $k$-algebra homomorphism from $k[S]$ to $k[q, x]$ by

$$
\begin{equation*}
h\left(q_{j}\right):=q^{j} \prod_{p} x_{p}^{\sum_{l=1}^{\infty}\left\lfloor j / p^{l}\right\rfloor} . \tag{30}
\end{equation*}
$$

Let the ideal I of $k[S, q, x]$ be defined by

$$
\begin{equation*}
I:=\left\langle q_{j}-h\left(q_{j}\right): j \in S\right\rangle \tag{31}
\end{equation*}
$$

and let

$$
\begin{equation*}
J:=I \cap k[S] . \tag{32}
\end{equation*}
$$

Then $M_{S}$ is the (projective) Hilbert function of the $k$-algebra $k[S] / J$ :

$$
\begin{equation*}
M_{S}(n)=H_{k[S] / J}(n) \tag{33}
\end{equation*}
$$

Example: If $S=[4]$, then $I=\left\langle q_{1}-q, q_{2}-q^{2} x_{2}, q_{3}-q^{3} x_{2} x_{3}, q_{4}-q^{4} x_{2}^{3} x_{3}\right\rangle$ and $J=\left\langle q_{4} q_{1}^{3}-q_{3} q_{2}^{2}\right\rangle$. For $M_{[4]}(n)$, see (41) on page 9 .

## 4 Explicit Answers

Let $S$ be a given finite set throughout this section. Lemma 4 allows to compute a closed form for the sequence $M_{S}(n)$ by well-known methods from computational commutative algebra. For the sake of completeness, let us briefly review them:
(1) Fix a term order $\preceq$ on $k[S, q, x]$ that allows the elimination of the variable $q$ and the variables $x_{p}$ in step 2 below. Compute a Gröbner basis $F$ for the (toric) ideal $I=\left\langle q_{j}-h\left(q_{j}\right): j \in S\right\rangle$ with respect to this term order using Buchberger's algorithm $[3,4]$.
(2) Let $G:=F \cap k[S]$. By the elimination property of Gröbner bases with respect to a suitable elimination order $\preceq$, the set $G$ is a Gröbner basis for the elimination ideal $J=I \cap k[S]$.
(3) Let $L:=I_{\preceq}(G)$ be the set of leading terms of polynomials in $G$.
(4) Compute $\mathcal{M}_{S}(q)$ using

$$
\begin{equation*}
\mathcal{M}_{S}(q)=\mathcal{H}_{k[S] \mid J}(q)=\mathcal{H}_{k[S] / I_{工}(J)}(q)=\mathcal{H}_{k[S] / / L\rangle}(q) . \tag{34}
\end{equation*}
$$

The first equality holds by Lemma 4 . The second equality is an identity of Macaulay [7]. Since $G$ is a Gröbner basis, its initial terms $L$ generate the initial term ideal of $\langle G\rangle$ with respect to $\preceq$, which explains the third equation sign. A naive method for computing the Hilbert-Poincaré series of $k[S] /\langle L\rangle$ is to apply the inclusion-exclusion relation

$$
\begin{equation*}
\mathcal{H}_{k[S] /\langle\{t\} \cup L\rangle}(q)=\mathcal{H}_{k[S] /\langle L\rangle}(q)-q^{\operatorname{deg} t} \mathcal{H}_{k[S]|/ L L\rangle: t}(q), \tag{35}
\end{equation*}
$$

recursively until the base case

$$
\begin{equation*}
\mathcal{H}_{k[S] / /\rangle}(q)=\mathcal{H}_{k[S]}(q)=\frac{1}{\prod_{j \in S}\left(1-q_{j}\right)} \tag{36}
\end{equation*}
$$

is reached. For better (faster) algorithms, see [8].
(5) Extract a closed form expression for $H_{k[S] /\langle L\rangle}(n)$ from its generating function $\mathcal{H}_{k[S] /\langle L\rangle}(q)$. (Use partial fraction decomposition and the binomial series). It is the desired answer $M_{S}(n)$.

One of the authors computed $1-4$ for several finite $S$ using different computer algebra systems. It turned out that $\mathrm{CoCoA}[9]$ was fastest for that purpose.

Theorem 5 Let $S$ be a finite subset of the positive natural numbers. Then
(1) $\mathcal{M}_{S}(q)$ can be written as

$$
\begin{equation*}
\mathcal{M}_{S}(q)=\frac{f_{S}(q)}{\prod_{j \in S}\left(1-q^{j}\right)} \tag{37}
\end{equation*}
$$

where $f_{S}(q)$ is a polynomial with integer coefficients.
(2) There exists $n_{0}$ such that $M_{S}(n)$ can be written as a quasipolynomial [10] for $n \geq n_{0}$. Moreover, it suffices to use periods which are divisors of elements of $S$.

PROOF. Relations (35) and (36) prove the first statement. The second statement follows from the first easily.

Let us follow the algorithm in the case $S=[4]$, which is the simplest nontrivial case. We have $I=\left\langle q_{1}-q, q_{2}-q^{2} x_{2}, q_{3}-q^{3} x_{2} x_{3}, q_{4}-q^{4} x_{2}^{3} x_{3}\right\rangle$. To eliminate the variables $x_{3}, x_{2}$ and $q$ we choose the lexical term order where $x_{3} \succ x_{2} \succ q \succ q_{4} \succ q_{3} \succ q_{2} \succ q_{1}$. The corresponding reduced Gröbner basis of $I$ is $F=\left\{q_{1}^{3} q_{4}-q_{2}^{2} q_{3}, q-q_{1}, q_{1}^{2} x_{2}-q_{2}, q_{2} q_{3} x_{2}-q_{1} q_{4}, q_{1} q_{3} x_{2}^{2}-q_{4}, q_{1} q_{2} x_{3}-\right.$ $\left.q_{3}, q_{2}^{2} x_{3}-q_{1} q_{3} x_{2}, q_{1}^{2} q_{4} x_{3}-q_{3}^{2} x_{2}, q_{2} q_{4} x_{3}-q_{3}^{2} x_{2}^{2}, q_{1} q_{4}^{2} x_{3}-q_{3}^{3} x_{2}^{3}, q_{4}^{3} x_{3}-q_{3}^{4} x_{2}^{5}\right\}$. By the elimination property of Gröbner bases $G:=F \cap k\left[q_{1}, q_{2}, q_{3}, q_{4}\right]=\left\{q_{1}^{3} q_{4}-q_{2}^{2} q_{3}\right\}$ is a Gröbner basis for the elimination ideal $J=I \cap k\left[q_{1}, q_{2}, q_{3}, q_{4}\right]$. Collecting leading terms of $G$ gives $L=\left\{q_{1}^{3} q_{4}\right\}$. Since $G$ is a Gröbner basis of $J$ we know that $I_{\preceq}(J)=\left\langle q_{1}^{3} q_{4}\right\rangle$. The Hilbert-Poincaré series of $k\left[q_{1}, q_{2}, q_{3}, q_{4}\right] /\left\langle q_{1}^{3} q_{4}\right\rangle$ gives

$$
\begin{equation*}
\mathcal{M}_{[4]}(q)=\frac{1-q^{7}}{(1-q)\left(1-q^{2}\right)\left(1-q^{3}\right)\left(1-q^{4}\right)} \tag{38}
\end{equation*}
$$

It is clear that we may replace any occurrence of the partition $4+1+1+1$ in a multinomial coefficient by $3+2+2$ without changing the value of the multinomial coefficient. Therefore, there are at most as many multinomial coefficients as there are partitions avoiding $4+1+1+1$. Equation (38) states that this upper bound gives in fact the exact number in the case of $S=$ $\{1,2,3,4\}$.

Note that all denominators in the partial fraction decomposition

$$
\begin{align*}
\mathcal{M}_{[4]}(q)=-\frac{7}{24} \frac{1}{(q-1)^{3}}- & \frac{77}{288} \frac{1}{(q-1)}+\frac{1}{16} \frac{1}{(q+1)^{2}}+ \\
& +\frac{1}{32} \frac{1}{(q+1)}+\frac{1}{9} \frac{(q+2)}{\left(q^{2}+q+1\right)}+\frac{1}{8} \frac{(q+1)}{\left(q^{2}+1\right)} \tag{39}
\end{align*}
$$

of (38) are powers of cyclotomic polynomials $C_{j}(q)$ where $j$ divides an element of $S=\{1,2,3,4\}$. We rewrite this as

$$
\begin{align*}
\mathcal{M}_{[4]}(q)= & \frac{7}{24} \frac{1}{(1-q)^{3}}+\frac{77}{288} \frac{1}{(1-q)}+\frac{1}{16} \frac{(1-q)^{2}}{\left(1-q^{2}\right)^{2}}+ \\
& \quad+\frac{1}{32} \frac{(1-q)}{\left(1-q^{2}\right)}+\frac{1}{9} \frac{\left(2-q-q^{2}\right)}{\left(1-q^{3}\right)}+\frac{1}{8} \frac{\left(1+q-q^{2}-q^{3}\right)}{\left(1-q^{4}\right)} \tag{40}
\end{align*}
$$

in order to use the binomial series $(1-z)^{-a-1}=\sum_{n=0}^{\infty}\binom{a+n}{a} z^{n}$. The result is

$$
\begin{align*}
M_{[4]}(n)= & \frac{7}{48} n^{2}+\left(\frac{1}{16}[1,-1](n)+\frac{7}{16}\right) n+ \\
& +\frac{1}{8}[1,1,-1,-1](n)+\frac{1}{9}[2,-1,-1](n)+\frac{3}{32}[1,-1](n)+\frac{161}{288} \tag{41}
\end{align*}
$$

where $\left[a_{0}, a_{1}, \ldots, a_{m}\right](n):=a_{j}$ for $n \equiv j(m)$. Similar computations show that

$$
\begin{align*}
\mathcal{M}_{[5]}(q) & =\frac{1-q^{7}}{(1-q)\left(1-q^{2}\right) \ldots\left(1-q^{5}\right)}  \tag{42}\\
\mathcal{M}_{[6]}(q) & =\frac{1-q^{7}-q^{8}-q^{10}+q^{12}+q^{13}}{(1-q)\left(1-q^{2}\right) \ldots\left(1-q^{6}\right)} \tag{43}
\end{align*}
$$

and

$$
\begin{equation*}
\mathcal{M}_{[7]}(q)=\frac{1-q^{7}-q^{8}-q^{10}+q^{12}+q^{13}}{(1-q)\left(1-q^{2}\right) \ldots\left(1-q^{7}\right)} \tag{44}
\end{equation*}
$$

It is no coincidence that the numerators of (43) and (44) agree (Theorem 11).

## 5 Upper Bounds

Trivially, $M(n) \leq p(n)$. Our goal is to find sharper upper bounds.
Lemma 6 Assume $S^{\prime} \subseteq S$.
Let $\tilde{I}$ be the ideal of $k[S, q, x]$ generated by the set of polynomials $\left\{q_{j}-h\left(q_{j}\right)\right.$ : $\left.j \in S^{\prime}\right\}$. Let $\tilde{J}$ be the ideal generated by $\tilde{I} \cap k\left[S^{\prime}\right]$ in the ring $k[S]$. Let $U_{S, S^{\prime}}(n):=H_{k[S] / \tilde{J}}(n)$. Then
(1) $M_{S}(n) \leq U_{S, S^{\prime}}(n)$.
(2) We have

$$
\begin{equation*}
\sum_{n} U_{S, S^{\prime}}(n) q^{n}=\frac{f_{S^{\prime}}(q)}{\prod_{j \in S}\left(1-q^{j}\right)} \tag{45}
\end{equation*}
$$

where $f_{S^{\prime}}(q)$ is defined by

$$
\begin{equation*}
\sum_{n} M_{S^{\prime}}(n) q^{n}=\frac{f_{S^{\prime}}(q)}{\prod_{j \in S^{\prime}}\left(1-q^{j}\right)} . \tag{46}
\end{equation*}
$$

PROOF. We prove the first statement. Let $I$ be the ideal of $k[S, q, x]$ generated by the set of polynomials $\left\{q_{j}-h\left(q_{j}\right): j \in S\right\}$ and let $J=I \cap k[S]$. Since $\tilde{J}$ is a $k$-vector subspace of $J$ we have

$$
\begin{equation*}
\operatorname{dim}_{k} k[S]_{n} \cap J \geq \operatorname{dim}_{k} k[S]_{n} \cap \tilde{J} \tag{47}
\end{equation*}
$$

and therefore

$$
\begin{equation*}
\operatorname{dim}_{k}(k[S] / J)_{n} \leq \operatorname{dim}_{k}(k[S] / \tilde{J})_{n} \tag{48}
\end{equation*}
$$

i.e.

$$
\begin{equation*}
M_{S}(n) \leq U_{S, S^{\prime}}(n) \tag{49}
\end{equation*}
$$

To prove the second statement, let $I^{\prime}$ be the ideal generated by $\left\{q_{j}-h\left(q_{j}\right)\right.$ : $\left.j \in S^{\prime}\right\}$ in the ring $k\left[S^{\prime}, q, x\right]$ and let $J^{\prime}:=I^{\prime} \cap k\left[S^{\prime}\right]$. Since the ideals $\tilde{J}$ and $J^{\prime}$ are generated by the same set of polynomials (albeit in different rings), the Hilbert functions $U_{S, S^{\prime}}(n)=H_{k[S] / \tilde{J}}(n)$ and $M_{S}^{\prime}(n)=H_{k\left[S^{\prime}\right] / J^{\prime}}(n)$ correspond in the way claimed by (45) and (46).

To get upper bounds for $M(n)$, we use the preceding Lemma in the special case $S=\mathbb{N}$ getting:

Theorem 7 For any $S^{\prime \prime}$ we have

$$
\begin{equation*}
M(n) \leq\left[q^{n}\right] \frac{f_{S^{\prime}}(q)}{\prod_{j=1}^{\infty}\left(1-q^{j}\right)} \tag{50}
\end{equation*}
$$

(where $\left[q^{n}\right] \mathcal{A}(q)$ denotes the coefficient of $q^{n}$ in the power series expansion of $\mathcal{A}(q))$. For instance, the cases $S^{\prime}=[4]$ and $S^{\prime}=[6]$ yield the bounds

$$
\begin{equation*}
M(n) \leq p(n)-p(n-7) \tag{51}
\end{equation*}
$$

and

$$
\begin{equation*}
M(n) \leq p(n)-p(n-7)-p(n-8)-p(n-10)+p(n-12)+p(n-13) . \tag{52}
\end{equation*}
$$

Note that a direct proof of $M(n) \leq p(n)-p(n-7)$ could be given by exploiting the equivalence of the partitions $4+1+1+1$ and $3+2+2$ in the sense of Equation (4).

The bound $M(n) \leq p(n)-p(n-7)$ is good enough to imply:
Theorem $8 M(n)=o(p(n))$, i.e. $\lim _{n \rightarrow \infty} M(n) / p(n)=0$.

PROOF. Due to the monotonicity of $p(n)$ and the fact that the unit circle is the natural boundary for

$$
\begin{equation*}
\sum_{n=0}^{\infty} p(n) q^{n}=\prod_{n=1}^{\infty} \frac{1}{1-q^{n}} \tag{53}
\end{equation*}
$$

we see that

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{p(n-7)}{p(n)}=1 \tag{54}
\end{equation*}
$$

Hence

$$
\begin{equation*}
0 \leq \lim _{n \rightarrow \infty} \frac{M(n)}{p(n)} \leq \lim _{n \rightarrow \infty} \frac{p(n)-p(n-7)}{p(n)}=1-1=0 \tag{55}
\end{equation*}
$$

which proves Theorem 8.

## 6 Lower Bounds

Recall that $M(n) \geq p_{\mathbb{P}}(n)$ (Theorem 1). The numbers given on page 15 suggest that $M(n)$ grows much faster than $p_{\mathbb{P}}(n)$. We will prove that this is indeed the case: $\lim _{n \rightarrow \infty} p_{\mathbb{P}}(n) / M(n)=0$ (Theorem 12) and we will give better lower bounds for $M(n)$.

Let us write $S<P$ if each element of $S$ is less than each element of $P$. We need the following generalization of Lemma 2:

Lemma 9 Assume $S<P$ where $P$ is a set of primes. Let $s$ and $s^{\prime}$ be any two power products in $k[S]$ and let $p$ and $p^{\prime}$ be distinct power products in $k[P]$. Then $h(s p) \neq h\left(s^{\prime} p^{\prime}\right)$.

In the case $S=\emptyset$, Lemma 9 states that distinct partitions $p$ and $p^{\prime}$ into primes yield different multinomial coefficients: $h(p) \neq h\left(p^{\prime}\right)$. Lemma 9 can be proved by the same induction argument as Lemma 2.

Lemma 10 Assume $S<P$ where $P$ is a set of primes. Define $h$ on $k[S \cup P]$ by (20). Then $\operatorname{ker} h$ is generated, as an ideal of $k[S \cup P]$, by $\operatorname{ker} h \cap k[S]$.

PROOF. Let $f \in \operatorname{ker} h$. Since $k[S \cup P]=k[S][P]$, we can $f$ as a finite sum $f=\sum_{s} \sum_{p} c_{s, p} s p$ indexed by power products $s$ and $p$ from $k[S]$ and $k[P]$ respectively, with coefficients $c_{s, p} \in k$. As $f \in \operatorname{ker} h, \sum_{s} \sum_{p} c_{s, p} h(s p)=0$. By Lemma 9 , this implies $\sum_{s} c_{s, p} h(s p)=0$ for arbitrary but fixed $p$. Canceling $h(p)$ from this equation shows that $h\left(f_{p}\right)=0$ where $f_{p}:=\sum_{s} c_{s, p} s$. In this way we succeed in writing $f$ as $f=\sum_{p} f_{p} p$ where each $f_{p}$ is in ker $h \cap k[S]$.

As an immediate consequence of Lemma 10 we get:
Theorem 11 Assume $S<P$ where $P$ is a set of primes. Then

$$
\begin{equation*}
\mathcal{M}_{S \cup P}(q)=\mathcal{M}_{S}(q) / \prod_{j \in P}\left(1-q^{j}\right) \tag{56}
\end{equation*}
$$

As a first application of Theorem 11, we count multinomial coefficients with lower entries which are either prime or equal to 1 :

$$
\begin{equation*}
\mathcal{M}_{\{1\} \cup \mathbb{P}}(q)=\frac{1}{(1-q) \prod_{j \in \mathbb{P}}\left(1-q^{j}\right)}, \tag{57}
\end{equation*}
$$

which allows for improving Theorem 1:
Theorem 12 We have

$$
\begin{equation*}
\lim _{n \rightarrow \infty} p_{\mathbb{P}}(n) / M_{\{1\} \cup \mathbb{P}}(n)=0 \tag{58}
\end{equation*}
$$

and therefore $\lim _{n \rightarrow \infty} p_{\mathbb{P}}(n) / M(n)=0$.

PROOF. Let $A(n):=M_{\{1\} \cup \mathbb{P}}(n)$. Due to the monotonicity of $A(n)$ and the fact that the unit circle is the natural boundary for we see that

$$
\begin{equation*}
\lim _{n \rightarrow \infty} A(n-1) / A(n)=1 \tag{59}
\end{equation*}
$$

By (57),

$$
\begin{equation*}
p_{\mathbb{P}}(n)=A(n)-A(n-1) . \tag{60}
\end{equation*}
$$

Therefore,

$$
\begin{equation*}
0 \leq \lim _{n \rightarrow \infty} \frac{p_{\mathbb{P}}(n)}{A(n)} \leq \lim _{n \rightarrow \infty} \frac{A(n)-A(n-1)}{A(n)}=1-1=0 \tag{61}
\end{equation*}
$$

which proves Theorem 12.

Let $L_{S}(n):=M_{S \cup \mathbb{P}}(n)$; clearly, $L_{S}(n)$ is a lower bound for $M(n)$. Theorem 11 allows us deduce

$$
\begin{equation*}
\mathcal{L}_{[4]}(q)=\mathcal{L}_{[5]}(q)=\frac{1-q^{7}}{\prod_{j \in[4] \cup \mathbb{P}}\left(1-q^{j}\right)} \tag{62}
\end{equation*}
$$

and

$$
\begin{equation*}
\mathcal{L}_{[6]}(q)=\mathcal{L}_{[7]}(q)=\frac{1-q^{7}-q^{8}-q^{10}+q^{12}+q^{13}}{\prod_{j \in[6] \cup \mathbb{P}}\left(1-q^{j}\right)} \tag{63}
\end{equation*}
$$

from the Equations (38) - (44); some values of $L_{[4]}(n)$ are listed on page 15.

## $7 \quad$ The Irreducible Pairs

An irreducible pair is a pair of partitions of $n$ that yield the same multinomial coefficient but have no parts in common. For example,

$$
\begin{equation*}
(4,1,1,1) \text { and }(3,2,2) \tag{64}
\end{equation*}
$$

is an irreducible pair.
It turns out that there are infinitely many irreducible pairs of partitions. The following is a partial list: Generalizing (64) we see that

$$
\begin{equation*}
(2^{m}, \underbrace{1,1, \ldots, 1}_{2 m-1}) \text { and }(2^{m}-1, \underbrace{2,2, \ldots, 2}_{m}) \tag{65}
\end{equation*}
$$

form an irreducible pair of partitions of $2^{m}+2 m-1$. More generally, for any integers $a \geq 2$ and $m \geq 1$ the partitions

$$
\begin{equation*}
(a^{m}, \underbrace{a-1, a-1, \ldots, a-1}_{m}, \underbrace{1,1, \ldots, 1}_{m-1}) \text { and }(a^{m}-1, \underbrace{a, a, \ldots, a}_{m}) \tag{66}
\end{equation*}
$$

form an irreducible pair of partitions of $a^{m}+a m-1$.
The pair

$$
\begin{equation*}
(6,1,1) \text { and }(5,3) \tag{67}
\end{equation*}
$$

can be generalized to irreducible pairs

$$
\begin{equation*}
(j!, \underbrace{1, \ldots, 1}_{(j-1)}) \text { and }(j!-1, j) \tag{68}
\end{equation*}
$$

of partitions of $(j!+j-1)$ for $j \geq 3$.
From any two irreducible pairs we can get a third one by combining them in a natural way. For instance, combining $a$ copies of (67) with $b$ copies of (64) gives the pair (70) which is used in the proof below.

The above examples show that $i(n)$ is positive infinitely often. Indeed we have:
Theorem $13 i(n) \geq \frac{n}{56}-1$.

PROOF. For each pair of non-negative integers $a$ and $b$ satisfying

$$
\begin{equation*}
8 a+7 b=n, \tag{69}
\end{equation*}
$$

we see that

$$
\begin{equation*}
(\underbrace{6, \ldots, 6}_{a}, \underbrace{4, \ldots, 4}_{b}, \underbrace{1, \ldots, 1}_{2 a+3 b}) \text { and }(\underbrace{5, \ldots, 5}_{a}, \underbrace{3, \ldots, 3}_{a+b}, \underbrace{2, \ldots, 2}_{2 b}) \tag{70}
\end{equation*}
$$

forms a new irreducible pair of partitions of $n$. Consequently $i(n)$ is at least as large as the number of non-negative solutions of the linear Diophantine equation (69).

Now the segment of the line $8 a+7 b=n$ in the first quadrant is of length $n \sqrt{113} / 56$. Furthermore from the full solution of the linear Diophantine equation we note that the integral solutions of (3.7) are points on this spaced a distance $\sqrt{113}$ apart. Hence in the first quadrant there must be at least

$$
\begin{equation*}
\left\lfloor\frac{n \sqrt{113} / 56}{\sqrt{113}}\right\rfloor=\left\lfloor\frac{n}{56}\right\rfloor>\frac{n}{56}-1 \tag{71}
\end{equation*}
$$

such points. Therefore

$$
\begin{equation*}
i(n)>\frac{n}{56}-1 \tag{72}
\end{equation*}
$$

Theorem 13 shows that $i(n)>0$ for all $n \geq 56$. Direct computation shows that $i(n)>0$ for all $n>7$ with the exception of $n=9,11$ and 12 .

## 8 Further Problems

Clearly we have only scratched the surface concerning the order of magnitude of $M_{k}(n), M(n)$ and $i(n)$. We have computed tables of the functions, and based on that evidence we make the following conjectures.

Conjecture $14 M(n) \geq p^{*}(n)$ for $n \geq 0$, where $p^{*}(n)$ is the total number of partitions of $n$ into parts that are either $\leq 6$ or multiples of 3 or both.

| $n$ | $p_{\mathbb{P}}(n)$ | $L_{[4]}(n)$ | $p^{*}(n)$ | $M(n)$ | $p^{\#}(n)$ | $U_{\mathbb{N}^{+},[4]}(n)$ | $p(n)$ |
| ---: | ---: | ---: | ---: | ---: | ---: | ---: | ---: |
| 0 | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| 10 | 5 | 30 | 36 | 36 | 39 | 39 | 42 |
| 20 | 26 | 232 | 357 | 366 | 445 | 526 | 627 |
| 30 | 98 | 1102 | 2064 | 2131 | 2875 | 4349 | 5604 |
| 40 | 302 | 4020 | 8853 | 9292 | 13549 | 27195 | 37338 |
| 50 | 819 | 12405 | 31639 | 33799 | 52321 | 140965 | 204226 |
| 60 | 2018 | 34016 | 99245 | 107726 | 175426 | 636536 | 966467 |
| 70 | 4624 | 85333 | 281307 | 310226 | 527909 | 2582469 | 4087968 |

Conjecture 15 There exists a positive constant $C$ so that

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{\log M(n)}{\sqrt{n}}=C \tag{73}
\end{equation*}
$$

If $C$ exists and if Conjecture 14 is true, then [11, Th. 6.2, p.89]

$$
\begin{equation*}
\frac{\pi}{3} \sqrt{2} \leq C \leq \pi \sqrt{\frac{2}{3}} \tag{74}
\end{equation*}
$$

Conjecture 16 Let $C_{k}$ be the infimum of the quotients $M_{k}(n) / p_{k}(n)$ where $n$ ranges over the natural numbers. Then $C_{k}>0$ for all natural numbers $k$. Moreover, $C_{k}$ is a strictly decreasing function of $k$ for $k \geq 3$ and $C_{k} \rightarrow 0$ as $k \rightarrow \infty$.

Conjecture $17 M(n) \leq p^{\#}(n)$ for $n \geq 0$ where $p^{\#}(n)$ is the total number of partitions of $n$ into parts that are either $\leq 7$ or multiples of 3 or both.

Conjecture 17 together with Conjecture 14 allows us to replace Conjecture 15 with

## Conjecture 18

$$
\begin{equation*}
\lim _{n \rightarrow \infty} \frac{\log M(n)}{\sqrt{n}}=\frac{\pi}{3} \sqrt{2} \tag{75}
\end{equation*}
$$

Acknowledgements: We thank Anna Bigatti for expert advice on $\operatorname{CoCoA}[9]$ and Bogdan Matasaru for rewriting a program for computing $M(n)$ in the programming language C.

## References

[1] S. M. Kerawala, On the asymptotic values of $\ln p_{A}(n)$ and $\ln p_{A}{ }^{(d)}(n)$ with $A$ as the set of primes, J. Natur. Sci. and Math. 9 (1969) 209-216.
[2] W. E. Adams, P. Loustaunau, An Introduction to Gröbner Bases, Vol. 3 of Graduate Studies in Mathematics, American Mathematical Society, 1994.
[3] B. Buchberger, An algorithmic criterion for the solvability of algebraic systems of equations, Aequationes Mathematicae 4 (3) (1970) 374-383.
[4] B. Buchberger, Gröbner bases: An algorithmic method in polynomial ideal theory, in: N. K. Bose (Ed.), Multidimensional Systems Theory, D. Reidel, Dordrecht, 1985, pp. 184-232.
[5] D. Cox, J. Little, D. O'Shea, Ideals, Varieties, and Algorithms: An Introduction to Computational Algebraic Geometry and Commutative Algebra, 2nd Edition, Undergraduate Texts in Mathematics, Springer-Verlag, New York, 1997.
[6] R. L. Graham, D. E. Knuth, O. Patashnik, Concrete Mathematics: a foundation for computer science, 2nd Edition, Addison-Wesley Publishing Company, Amsterdam, 1994.
[7] F. S. Macaulay, Some properties of enumeration in the theory of modular systems, roc. London Math. Soc. 26 (1927) 531-555.
[8] A. M. Bigatti, Computation of Hilbert-Poincaré series., J. Pure Appl. Algebra 119 (3) (1997) 237-253.
[9] CoCoATeam, CoCaA: a system for doing Computations in Commutative Algebra, Available at http://cocoa.dima.unige.it.
[10] R. P. Stanley, Combinatorics and Commutative Algebra, 2nd Edition, Vol. 41 of Progress in Math., Birkhäuser, 1996.
[11] G. E. Andrews, The Theory of Partitions, Vol. 2 of Encyclo. of Math. and its Applications, Addison-Wesley, Reading, 1976, reprinted by Cambridge University Press, Cambridge, 1985.
[12] G. E. Andrews, Number Theory, W. B. Saunders, Philadelphia, 1971, reprinted by Dover, New York, 1994.


[^0]:    * Corresponding author.

    Email addresses: andrews@math.psu.edu (George E. Andrews), arnoldk@cam.wits.ac.za (Arnold Knopfmacher), Zimmermann@risc.uni-linz.ac.at (Burkhard Zimmermann).
    ${ }^{1}$ Partially supported by National Science Foundation Grant DMS-0200047.
    ${ }^{2}$ Partially supported by The John Knopfmacher Center for Applicable Analysis and Number Theory of the University of the Witwatersrand.
    ${ }^{3}$ Supported by SFB grant F1301 of the Austrian FWF.

